

# 3

## FUNCTIONALITY

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## INTRODUCTION

For nursing home and swing-bed hospital users, the basic functions of the MDS 3.0 system include:

- Establishing the communication connection with CMSNet
- Submitting electronic MDS files

This section of the MDS 3.0 Provider User's Guide provides instructions for these functions.

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## ESTABLISHING THE COMMUNICATION CONNECTION

The communications component of MDS 3.0 supports the transfer of MDS data between providers and the National Submissions Database. In order to connect to the National Submissions Database, you must first ensure that you have a CMSNet user ID and that communications software is correctly installed on your PC.

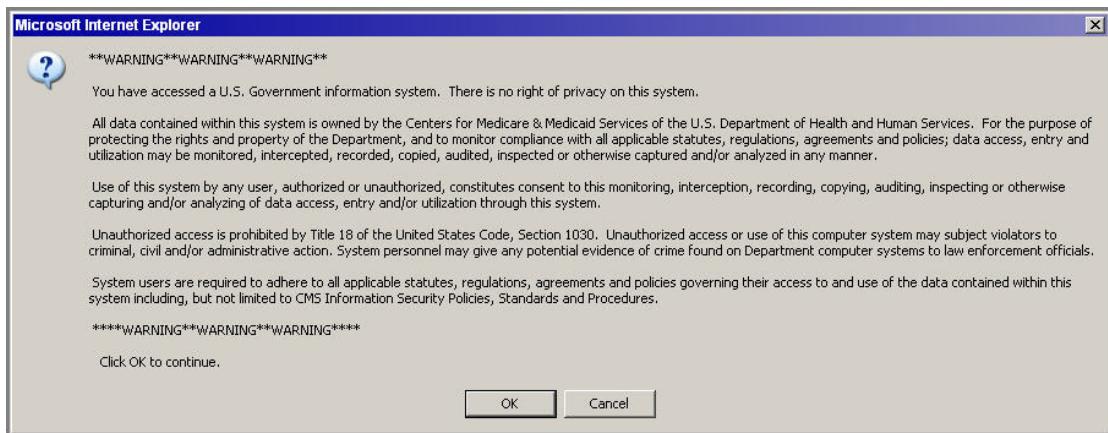
**NOTE:** To install software on your computer, you must have Administrative Rights. If you were not granted Administrative Rights to install software to your PC, please contact your computer support personnel.

To obtain a CMSNet user ID, complete and submit the CMSNet Questionnaire found on the QIES Technical Support Office (QTSO) web site at <https://www.qtso.com/cmsnet.html>. A software installation guide for the CMSNet remote user is available on the same web page to assist you with the installation of the communications software. The software installation guide includes instructions for connecting to CMSNet once the software is installed.

**NOTE:** If you encounter difficulties connecting to CMSNet, contact the CMS Remote User Support Help Desk at (888) 238-2122.

Prior to accessing a CMS web site a **No Right of Privacy** pop-up window (Figure 3-1) is presented.

**Figure 3-1. No Right of Privacy Pop-Up Window**



The **No Right of Privacy** pop-up window informs you that you have accessed a U.S. Government information system for which you have no right to private use. All data contained within the system is owned by CMS. Data access, entry and utilization may be monitored, intercepted, recorded, copied, audited, inspected or otherwise captured and/or analyzed in any manner, and use of the system constitutes consent to this. Unauthorized access is prohibited by Title 18 of the United States Code, Section 1030. Unauthorized use of the system may subject violators to criminal, civil and/or administrative action. System users are required to adhere to all applicable statutes, regulations, agreements and policies governing their access to and use of the data contained within the system.

You may select the **Cancel** button if you do not wish to access the CMS web site. Otherwise, select the **OK** button. For nursing home providers, the **MDS/OASIS Welcome** page (Figure 3-2) specifically for your state is presented.

**Figure 3-2. CMS State Welcome Page for Nursing Home Providers**



Welcome to the MDS / OASIS system for the state of Iowa

**CMS Links**

Long Term Care Facilities please click on [MDS](#)

Home Health Agencies please click on [OASIS](#)

Attention Internet Explorer users, if you are experiencing difficulties connecting to MDS or OASIS pages, [CLICK HERE](#)

[Accessibility Policy](#) | [Privacy Policy](#) | [Help](#)

For swing bed hospitals, the **National Assessment Collection Database Welcome** page (Figure 3-3) is presented.

**Figure 3-3. CMS NACD Welcome Page for Swing Bed Providers**



Welcome to the National Assessment Collection Database

**CMS Links**

Inpatient Rehabilitation Facilities please click on [IRF-PAI](#)

Swing Bed Facilities please click on [Swing Bed](#)

[Accessibility Policy](#) | [Privacy Policy](#) | [Help](#)

The CMS state and National Assessment Collection Database (NACD) welcome pages include the following links:

MDS – for use by long-term care facilities to access the MDS 2.0 or MDS 3.0 system in order to submit assessments or corrections to assessments

OASIS – for use by home health agencies to access the OASIS submission system

IRF-PAI – for use by inpatient rehabilitation facilities to access the IRF-PAI submission system

Swing Bed – for use by swing-bed hospitals to access the MDS 3.0 or MDS-SB system in order to submit assessments or corrections to assessments

## **Accessing the MDS 3.0 Submission System – Nursing Homes**

From your state's **CMS Welcome** page, select the MDS link. The **CMS MDS System Welcome** page (Figure 3-4) is presented.

**Figure 3-4. CMS MDS System Welcome Page**

**Welcome to the CMS MDS System!**

Reminder: The State QIES System may be down for maintenance the third Sunday of each month. If you experience any problems submitting or retrieving reports, please try again on Monday.

**Please Note:** UserIDs should be entered using all uppercase letters.

**MDS 2.0 Submissions**

**MDS 3.0 Submissions**

**Unable to Login? Click here for QIES User Maintenance**

Users must log in at least once a month to either CASPER Reporting or the QIES User Maintenance application in order to keep their User IDs active.

Beginning 7/12/2010 users who have not logged into either CASPER Reporting or the QIES User Maintenance application will be unable to submit MDS assessments and access Final Validation Reports.

[QIES User Maintenance Application User's Guide](#)

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[CASPER Reporting - Online Reports](#)

Why are my statistics on the MDS QI/QM Reports Different from Nursing Home Compare? Follow the links below for answers.  
[MDS QI/QM Reports vs. Nursing Home Compare](#)

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[CASPER Reporting Users Manual](#) Last update: 10/29/2007

[Quality Measures for National Public Reporting](#) Last update: 10/11/2006

[Nursing Home Resident Information](#) Last update: 10/11/2006

[Bulletins](#)

[Points of Contact](#)

[MDS System Requirements](#) Last update: 10/18/2005

[MDS/RAVEN Updates](#) Last update: 10/07/2009

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[Accessibility Policy](#) | [Privacy Policy](#) | [Help](#)

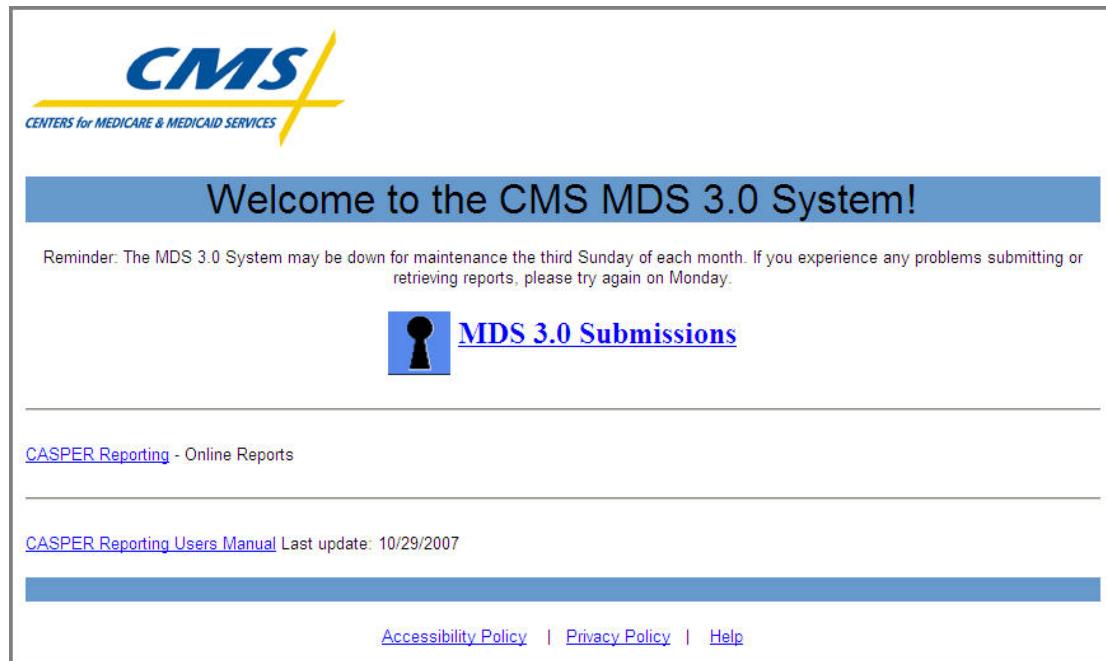
The **CMS MDS System Welcome** page includes the following links:

- o [MDS 2.0 Submissions](#) – access to the MDS 2.0 system to submit assessments or corrections to assessments with target dates prior to 10/1/2010.
- o [MDS 3.0 Submissions](#) – access to the main MDS 3.0 system to submit assessments or corrections to assessments with target dates of 10/1/2010 or later.
- o [QIES User Maintenance](#) – access to the QIES User Maintenance application for self-service user login ID reset and forgotten password retrieval functions.
- o [QIES User Maintenance Application User's Guide](#) – access to the user's guide for the QIES User Maintenance application.
- o [CASPER Reporting](#) – access to the CASPER Reporting application so that you may request and view various facility and QI/QM reports.
- o [CASPER Reporting Users Manual](#) – access to the Provider User's Guide for the CASPER Reporting application.

**NOTE:** Your state may customize and present a state-specific MDS welcome page prior to the **CMS MDS System Welcome** page depicted above. The **CMS MDS System Welcome** page may also include other relevant notices and links.

Select the [MDS 3.0 Submissions](#) link to access the **CMS MDS 3.0 System Welcome** page (Figure 3-5).

**Figure 3-5. CMS MDS 3.0 System Welcome Page**



The **CMS MDS 3.0 System Welcome** page includes the following links:

- o [MDS 3.0 Submissions](#) – access to the main MDS 3.0 system to submit assessments or corrections to assessments with target dates of 10/1/2010 or later.
- o [CASPER Reporting](#) – access to the CASPER Reporting application so that you may request and view various facility and QI/QM reports.
- o [CASPER Reporting Users Manual](#) – access to the Provider User's Guide for the CASPER Reporting application.

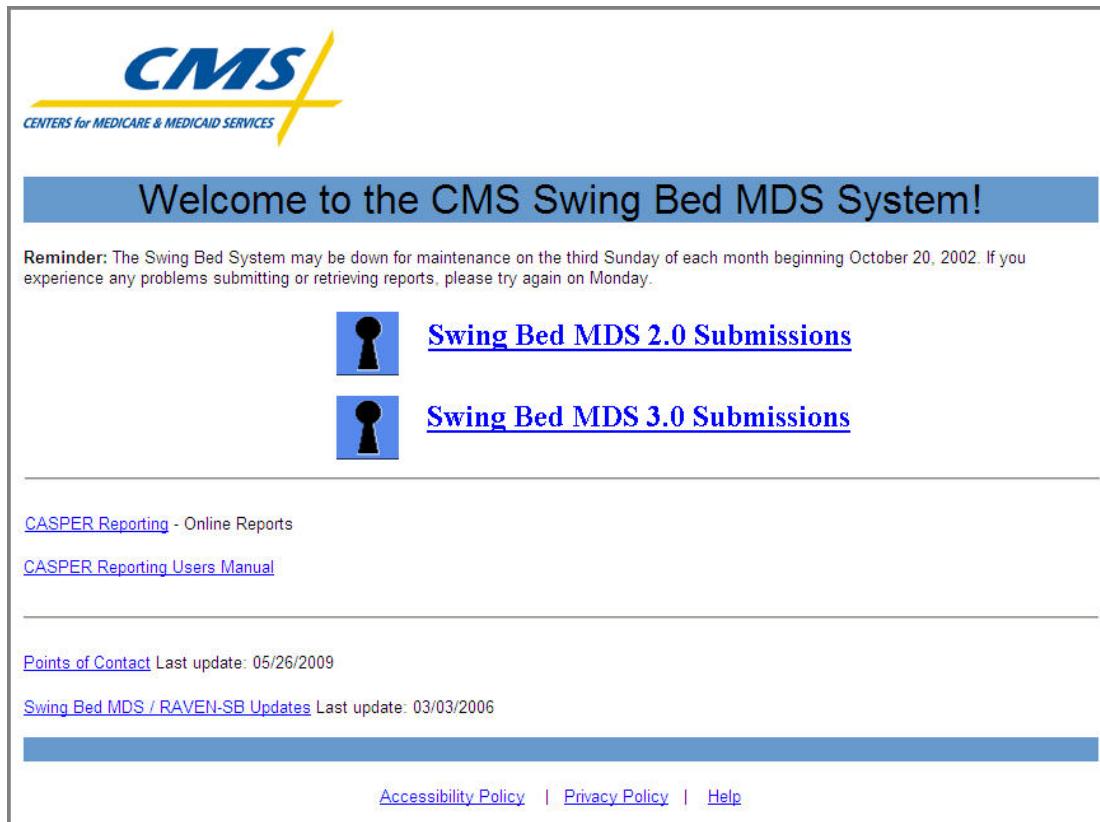
Select the [MDS 3.0 Submissions](#) link to access the **MDS 3.0 Login** page (Figure 3-8).

**NOTE:** Persons using the JAWS screen reader must start the JAWS application *prior* to accessing the **MDS 3.0 Login** page in order to maintain password privacy.

## **Accessing the MDS 3.0 Submission System – Swing Bed Hospitals**

From the **CMS NACD Welcome** page, select the Swing Bed link. The **CMS Swing Bed MDS System Welcome** page (Figure 3-6) is presented.

**Figure 3-6. CMS Swing Bed MDS System Welcome Page**



**Welcome to the CMS Swing Bed MDS System!**

**Reminder:** The Swing Bed System may be down for maintenance on the third Sunday of each month beginning October 20, 2002. If you experience any problems submitting or retrieving reports, please try again on Monday.

**Swing Bed MDS 2.0 Submissions**

**Swing Bed MDS 3.0 Submissions**

[CASPER Reporting - Online Reports](#)

[CASPER Reporting Users Manual](#)

[Points of Contact](#) Last update: 05/26/2009

[Swing Bed MDS / RAVEN-SB Updates](#) Last update: 03/03/2006

[Accessibility Policy](#) | [Privacy Policy](#) | [Help](#)

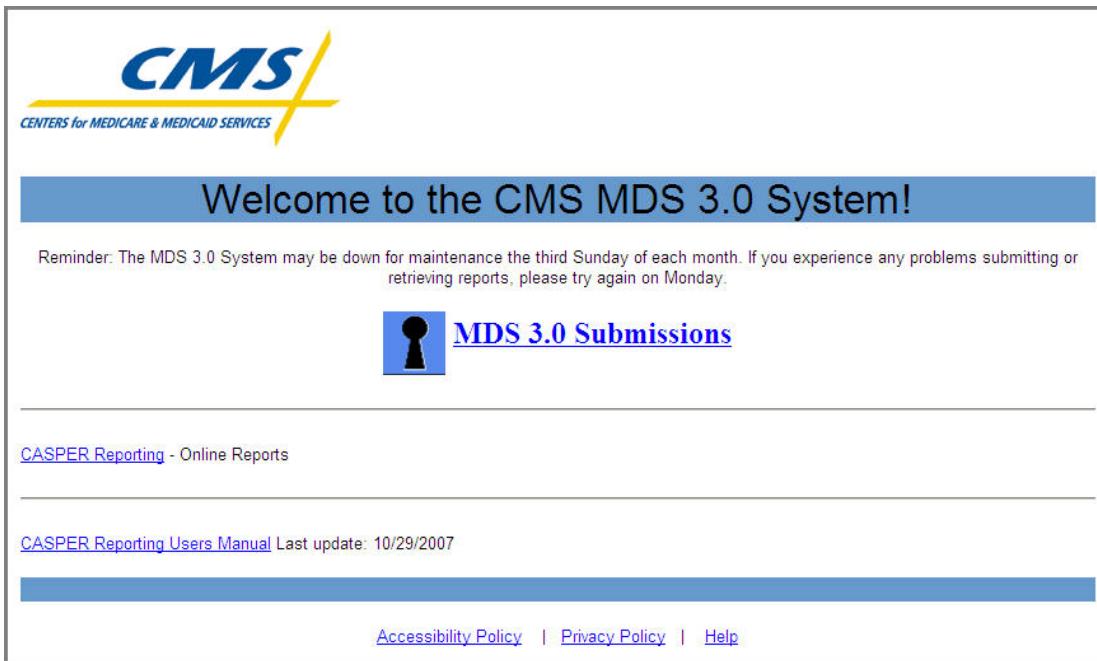
The **CMS Swing Bed MDS System Welcome** page includes the following links:

- o [Swing Bed MDS 2.0 Submissions](#) – access to the NACD MDS 2.0 system to submit assessments or corrections to assessments with target dates prior to 10/1/2010.
- o [Swing Bed MDS 3.0 Submissions](#) – access to the main MDS 3.0 system to submit assessments or corrections to assessments with target dates of 10/1/2010 or later.
- o [CASPER Reporting](#) – access to the CASPER Reporting application so that you may request and view various facility and QI/QM reports.
- o [CASPER Reporting Users Manual](#) – access to the Provider User's Guide for the CASPER Reporting application.

**NOTE:** The **CMS Swing Bed MDS Welcome** page may also include other relevant notices and links.

Select the Swing Bed MDS 3.0 Submissions link to access the **CMS MDS 3.0 System Welcome** page (Figure 3-7).

**Figure 3-7. CMS MDS 3.0 System Welcome Page**



The **CMS MDS 3.0 System Welcome** page includes the following links:

- o MDS 3.0 Submissions – access to the main MDS 3.0 system to submit assessments or corrections to assessments with target dates of 10/1/2010 or later.
- o CASPER Reporting – access to the CASPER Reporting application so that you may request and view various facility and QI/QM reports.
- o CASPER Reporting Users Manual – access to the Provider User's Guide for the CASPER Reporting application.

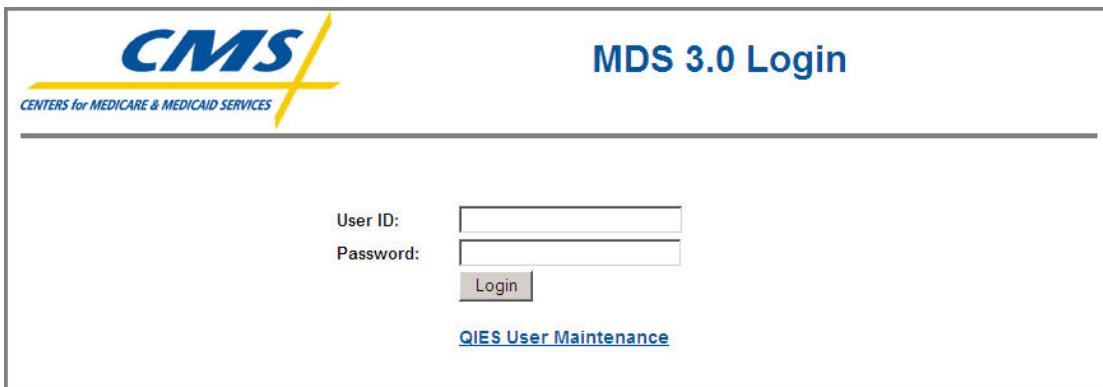
Select the MDS 3.0 Submissions link to access the **MDS 3.0 Login** page (Figure 3-8).

**NOTE:** Persons using the JAWS screen reader must start the JAWS application *prior* to accessing the **MDS 3.0 Login** page in order to maintain password privacy.

## Logging In to the MDS 3.0 System

From the **CMS MDS 3.0 System Welcome** page, both nursing home and swing users select the MDS 3.0 Submissions link to access the MDS 3.0 system. The **MDS 3.0 Login** page (Figure 3-8) is presented.

**Figure 3-8. MDS 3.0 Login Page**



The screenshot shows the CMS MDS 3.0 Login page. At the top left is the CMS logo with the text 'CENTERS for MEDICARE & MEDICAID SERVICES'. The title 'MDS 3.0 Login' is centered at the top. Below the title are two input fields: 'User ID:' and 'Password:', each with a corresponding text input box. To the right of the 'Password:' field is a 'Login' button. At the bottom of the form is a link labeled 'QIES User Maintenance'.

The following shortcut keys are available for you to access elements on the **MDS 3.0 Login** page:

Shortcut Keys	To Access
Alt + u	User ID field
Alt + w	Password field
Alt + g	Login button
Alt + q	<u>QIES User Maintenance</u> link

Type your user ID and password in the appropriate fields and select the **Login** button.

**NOTE:** Contact the State Agency System Administrator or other designated point of contact if you do not have a user name (ID) and password with which to access the MDS 3.0 system. Your user name and password allow you to access both the MDS 3.0 File Submission and CASPER Reporting systems.

The MDS 3.0 Submission **File Upload** page (Figure 3-9) is presented.

**Figure 3-9. MDS 3.0 File Submission Upload Page**

Locate the MDS file to submit by selecting the *Browse* button and choosing a file from your computer. Once you have located a file, select the *Upload* button to upload the file.

File Name:  [Browse...](#)

[Upload](#)

**NOTE:** After 12 minutes with no interaction with the server, a pop-up dialog box warns you that your online session will timeout in 3 minutes and asks if you would like to extend it. Select the **OK** button to extend your session. After 15 minutes of inactivity you are logged out of the MDS 3.0 File Submission system and must log in with your user ID and password in order to use the MDS 3.0 File Submission system once again.

Each page of the MDS 3.0 File Submission system includes the following constants:

- The CMS logo and the title of the application, MDS 3.0 File Submission, span the top
- A Skip Navigation Links link – to the far-right, under the CMS logo and the application title

The Skip Navigation Links link allows users utilizing assistive readers to bypass the menu bar items, moving focus to the main body of the current page.

The keyboard shortcut to quickly bring focus to the Skip Navigation Links link is Alt + n. Once in focus, press Enter to activate the link.

- The MDS 3.0 File Submission system menu bar – under the Skip Navigation Links link

The MDS 3.0 File Submission system menu bar provides access to the functionality of the MDS 3.0 File Submission system.

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## MENU BAR

The MDS 3.0 File Submission system menu bar (Figure 3-10) includes the following options.

- File Upload – accesses the **File Upload** page; refer to the *Submitting MDS Data* section of this document for more information
- Submission Status – accesses the **Submission Status** query page; refer to the *Submission Status* section of this document for more information
- Help – accesses the **Help** page
- Logout – logs the active user out of the MDS 3.0 File Submission system
- Welcome Page – accesses the **CMS MDS 3.0 Welcome** page (Figures 3-5 and 3-7) and the links found there to the CASPER Reporting system and the CASPER Reporting user's manual.

**Figure 3-10. MDS 3.0 File Submission System Menu Bar**



The following shortcut keys bring focus to items on the MDS 3.0 File Submission system menu bar:

Shortcut Keys	To Access
Alt + 1	<i>File Upload</i>
Alt + b	<i>Submission Status</i>
Alt + p	<i>Help</i>
Alt + o	<i>Logout</i>
Alt + 0 (zero)	<i>Welcome Page</i>

With focus on one of these items, press the Enter key to access the associated function.

**NOTE:** Shortcut keys utilizing numbers function only with the numeric keys along the top of the keyboard. They do not function with the numeric keys in the "10-key" pad of the keyboard.

## Help

The *Help* item on the MDS 3.0 File Submission menu bar allows you to access the MDS 3.0 File Submission **Help** page (Figure 3-11).

**Figure 3-11. MDS 3.0 File Submission Help Page**



The following links are available on the MDS 3.0 File Submission **Help** page:

- Accessibility Policy
- Contact Us
- Privacy Policy

The following shortcut keys bring focus to the links on the MDS 3.0 File Submission **Help** page:

Shortcut Keys	To Access
Alt + 7	<i>Accessibility Policy</i>
Alt + 8	<i>Contact Us</i>
Alt + 9	<i>Privacy Policy</i>

With focus on one of these items, press the Enter key to access the associated function.

**NOTE:** Shortcut keys utilizing numbers function only with the numeric keys along the top of the keyboard. They do not function with the numeric keys in the “10-key” pad of the keyboard.

## **Accessibility Policy**

The **Accessibility Policy** link accesses the MDS 3.0 File Submission **Accessibility Policy** page (Figure 3-12).

**Figure 3-12. MDS 3.0 File Submission Accessibility Policy Page**



The MDS 3.0 File Submission **Accessibility Policy** page displays the following message:

“The QIES Technical Support Office makes every effort to ensure our Web site is accessible for people with disabilities and meets current accessibility standards, including those defined by Section 508 of the U.S. Rehabilitation Act and the W3C’s Web Content Accessibility Guidelines. We are actively engaged in the ongoing process of testing our Web site for compliance with current accessibility standards.

We are committed to addressing issues that prevent people with disabilities from accessing our site and its content in a timely manner. If you should find that you are unable to or have difficulty accessing information via our Web site, please contact our help desk.

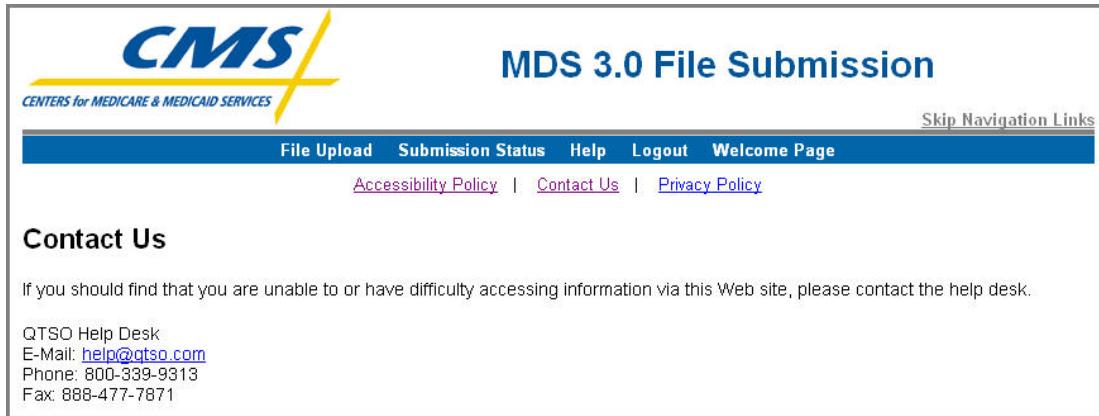
E-mail: [help@qtso.com](mailto:help@qtso.com)

Phone: 800-339-9313”

## **Contact Us**

The *Contact Us* link accesses the MDS 3.0 File Submission **Contact Us** page (Figure 3-13).

**Figure 3-13. MDS 3.0 File Submission Contact Us Page**



**MDS 3.0 File Submission**

Skip Navigation Links

File Upload Submission Status Help Logout Welcome Page

Accessibility Policy | Contact Us | Privacy Policy

### Contact Us

If you should find that you are unable to or have difficulty accessing information via this Web site, please contact the help desk.

QTSO Help Desk  
E-Mail: [help@qtso.com](mailto:help@qtso.com)  
Phone: 800-339-9313  
Fax: 888-477-7871

The MDS 3.0 File Submission **Contact Us** page displays the following message:

“If you should find that you are unable to or have difficulty accessing information via this Web site, please contact the help desk.

QTSO Help Desk

E-Mail: [help@qtso.com](mailto:help@qtso.com)

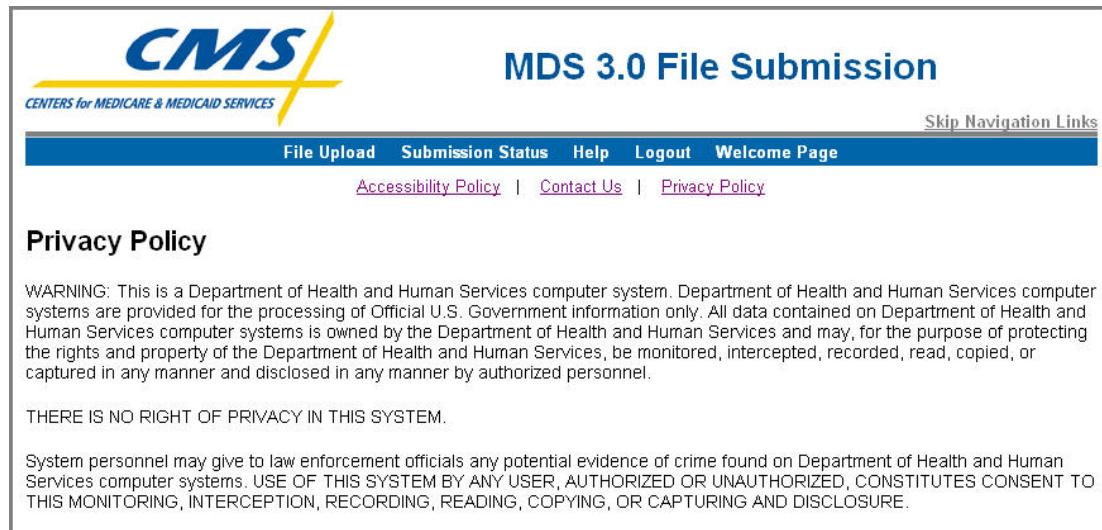
Phone: 800-339-9313

Fax: 888-477-7871”

## **Privacy Policy**

The *Privacy Policy* link accesses the MDS 3.0 File Submission **Privacy Policy** page (Figure 3-14).

**Figure 3-14. MDS 3.0 File Submission Privacy Policy Page**



**MDS 3.0 File Submission**

Skip Navigation Links

File Upload Submission Status Help Logout Welcome Page

[Accessibility Policy](#) | [Contact Us](#) | [Privacy Policy](#)

### Privacy Policy

WARNING: This is a Department of Health and Human Services computer system. Department of Health and Human Services computer systems are provided for the processing of Official U.S. Government information only. All data contained on Department of Health and Human Services computer systems is owned by the Department of Health and Human Services and may, for the purpose of protecting the rights and property of the Department of Health and Human Services, be monitored, intercepted, recorded, read, copied, or captured in any manner and disclosed in any manner by authorized personnel.

THERE IS NO RIGHT OF PRIVACY IN THIS SYSTEM.

System personnel may give to law enforcement officials any potential evidence of crime found on Department of Health and Human Services computer systems. USE OF THIS SYSTEM BY ANY USER, AUTHORIZED OR UNAUTHORIZED, CONSTITUTES CONSENT TO THIS MONITORING, INTERCEPTION, RECORDING, READING, COPYING, OR CAPTURING AND DISCLOSURE.

The MDS 3.0 File Submission **Privacy Policy** page displays the following message:

“WARNING: This is a Department of Health and Human Services computer system. Department of Health and Human Services computer systems are provided for the processing of Official U.S. Government information only. All data contained on Department of Health and Human Services computer systems is owned by the Department of Health and Human Services and may, for the purpose of protecting the rights and property of the Department of Health and Human Services, be monitored, intercepted, recorded, read, copied, or captured in any manner and disclosed in any manner by authorized personnel.

THERE IS NO RIGHT OF PRIVACY IN THIS SYSTEM.

System personnel may give to law enforcement officials any potential evidence of crime found on Department of Health and Human Services computer systems. USE OF THIS SYSTEM BY ANY USER, AUTHORIZED OR UNAUTHORIZED, CONSTITUTES CONSENT TO THE MONITORING, INTERCEPTION, RECORDING, READING, COPYING, OR CAPTURING AND DISCLOSURE.”

## Logout

The *Logout* item on the MDS 3.0 File Submission menu bar allows you to end your session and exit the MDS 3.0 File Submission system. When you select the *Logout* item, the **MDS 3.0 Logout** page (Figure 3-15) is presented.

**Figure 3-15. MDS 3.0 Logout Page**



The **MDS 3.0 Logout** page displays the following message:

“You are logged out.

You may try to login again.”

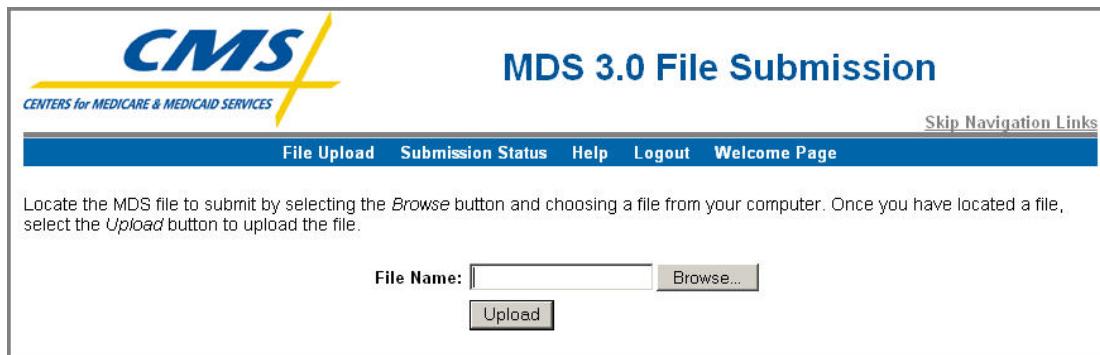
The word “login” is a link [Alt + 4] with which you may access the **MDS 3.0 Login** page and log back into the MDS 3.0 Submissions system.

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## SUBMITTING MDS DATA

1. To submit MDS data, access the MDS 3.0 File Submission **File Upload** page (Figure 3-16). The MDS 3.0 File Submission **File Upload** page is presented by default when you successfully log into the system.

**Figure 3-16. MDS 3.0 File Submission File Upload Page**



Locate the MDS file to submit by selecting the *Browse* button and choosing a file from your computer. Once you have located a file, select the *Upload* button to upload the file.

File Name:

**NOTE:** You must use software capable of encoding MDS assessment records and exporting data files in accordance with CMS's standard record layout specifications for MDS version 3.0.

The following shortcut keys bring focus to elements of the MDS 3.0 File Submission system **File Upload** page:

Shortcut Keys	To Access
Alt + 2	<i>File Name</i> field
Alt + 3	<b>Upload</b> button

**NOTE:** Shortcut keys utilizing numbers function only with the numeric keys along the top of the keyboard. They do not function with the numeric keys in the “10-key” pad of the keyboard.

The MDS 3.0 File Submission system **File Upload** page provides the following instructions:

“Locate the MDS file to submit by selecting the *Browse* button and choosing a file from your computer. Once you have located a file, select the *Upload* button to upload the file.”

The MDS 3.0 File Submission system **File Upload** page includes a *File Name* field and **Browse** and **Upload** buttons.

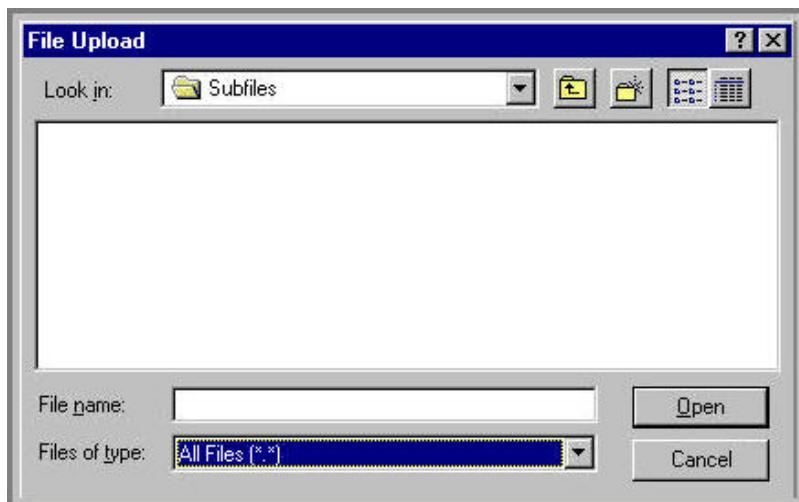
2. In the *File Name* field indicate the name of the MDS file you wish to submit.

Two methods of identifying the file to submit exist:

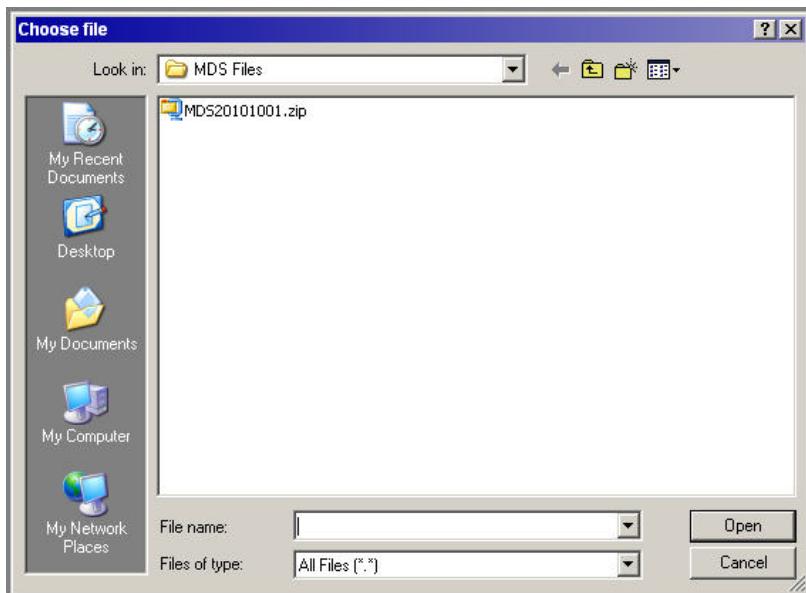
- o If you know the file name, you may type the complete path and file name (e.g., *C:\mds\myfile.zip*) in the *File Name* field.
- o The recommended method is to browse or view and then select from a list of files available on your computer. With this method you need not be concerned with typing the proper syntax of the file path and name. To browse for the file you wish to submit, select the **Browse** button.

Depending upon the version of Windows running on your computer, a **File Upload** (Figure 3-17) or **Choose file** (Figure 3-18) or similar dialog box is presented with which you can browse through the names of the files available on your computer hard drive, network drive, or a diskette inserted into the floppy disk drive.

**Figure 3-17. Windows File Upload Dialog Box**



**Figure 3-18. Windows Choose File Dialog Box**



Select *All Files* from the drop-down list in the *Files of type* field so that you do not limit the types of files shown in the list.

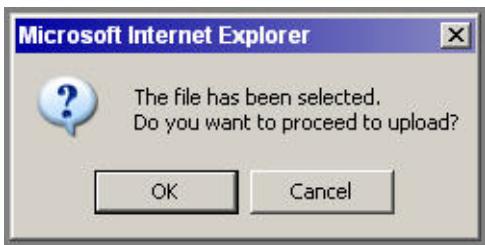
**NOTE:** The MDS 3.0 File Submission system only processes compressed (zipped) files with a “.zip” extension. You may not upload a file with anything other than a “.zip” extension.

Select the drive on which your submission file is stored from the drop-down list associated with the *Look In* field (C: is your computer’s hard drive and A: or B: the floppy disk drive). The file(s) available on the selected drive are listed in the main body of the dialog box.

To select a file for submission, double click on the file name or highlight the file name and then select the **Open** button. The dialog box is closed and the name of the selected file is populated into the *File Name* field on the MDS 3.0 File Submission **File Upload** page.

3. Select the **Upload** button on the MDS 3.0 File Submission **File Upload** page to submit the file. A **Proceed to Upload?** dialog box (Figure 3-19) is presented.

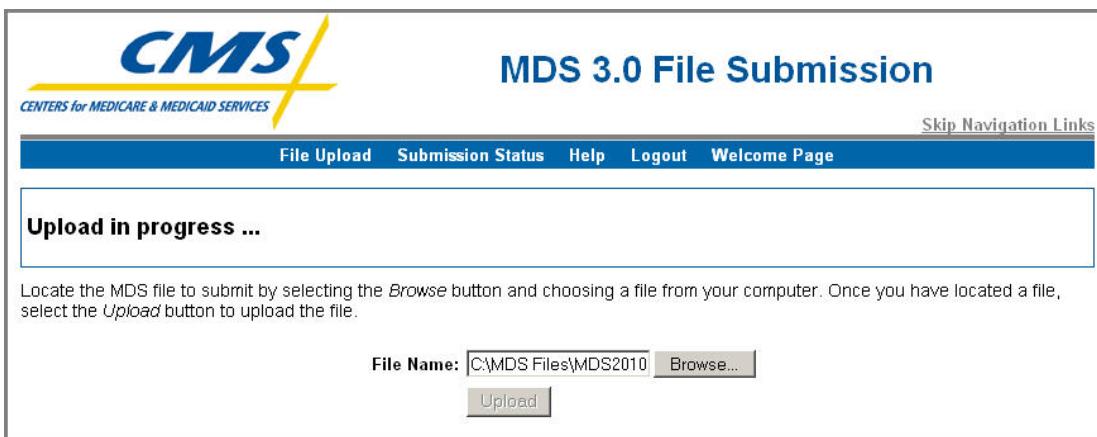
**Figure 3-19. Proceed to Upload? Dialog Box**



4. Select the **OK** button if you wish to proceed or the **Cancel** button if you do not wish to submit the selected file at this time.

If you choose to proceed with the upload, the MDS 3.0 File Submission **File Upload** page displays an “Upload in progress ...” message (Figure 3-20).

**Figure 3-20. Upload In Progress Message**



**NOTE:** The time it takes for the MDS 3.0 File Submission system to receive the MDS data file you submitted depends upon the size of your file and concurrent system activity. Until you receive confirmation that your file was successfully uploaded, do not exit the MDS 3.0 file Submission system or perform any other function. You risk interrupting the file upload process if you do not wait for the confirmation message.

When the submitted file is successfully received at the National Submissions Database, an **Upload Completed** dialog box (Figure 3-21) is presented.

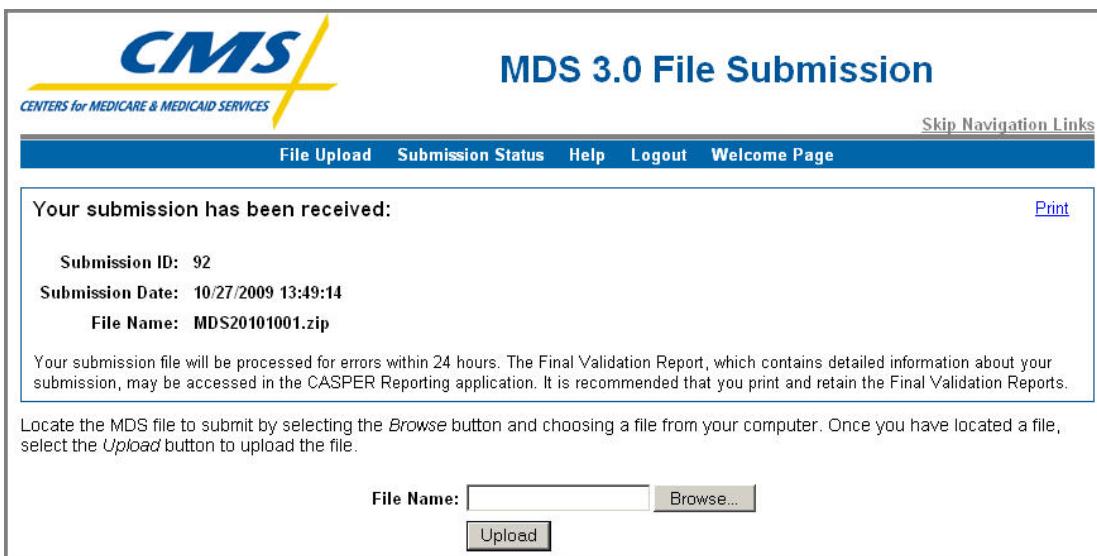
**Figure 3-21. Upload Completed Dialog Box**



5. Select the **OK** button to continue.

The MDS 3.0 File Submission **File Upload** page now displays a message (Figure 3-22) confirming that the file you submitted was successfully received at the National Submissions Database.

**Figure 3-22. Submission Received Confirmation Message**



The confirmation message provides the following information:

- o Submission ID – the numeric identifier assigned to your file by the MDS 3.0 Submission system
- o Submission Date – the date and time that the National Submissions Database received your file in the Eastern time zone
- o File Name – the name of the file that you submitted

The confirmation message also includes the following notation:

“Your submission file will be processed for errors within 24 hours. The Final Validation Report, which contains detailed information about your submission, may be accessed in the CASPER Reporting application. It is recommended that you print and retain the Final Validation Reports.”

**NOTE:** The confirmation message only indicates successful receipt of the file at the National Submissions Database. Errors that exist in the submitted file are identified only when the MDS 3.0 system subsequently validates the file.

6. Print the confirmation message for your records at this time. The confirmation message is cleared once you perform another MDS 3.0 File Submission function and is **not** available for printing later. A *Print* link [Alt + r] is provided for your convenience.

**NOTE:** Please print the confirmation message or otherwise note this information so that you may identify the corresponding Final Validation Report in the CASPER Reporting application.

The Submission ID and the Submission Date/Time are also needed if you must report a problem with the submission to the QTSO Help Desk.

7. You may now submit another file or log out of the MDS 3.0 File Submission system.

After your submitted MDS data file is successfully received at the National Submission Database, the MDS 3.0 system validates the file structure and data content based upon the MDS 3.0 data specifications. Within 24 hours of a successful submission, you may access a Final Validation Report in the CASPER Reporting application that provides a detailed account of any errors found during the validation of the records in the submitted MDS file.

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## SUBMISSION SYSTEM ERRORS

During the MDS 3.0 file submission process, you may encounter one or more of the error conditions described below.

If you experience error messages or warnings from other software or hardware components used in association with the MDS 3.0 File Submission system, please refer to the appropriate vendor-provided manuals.

**NOTE:** Errors that are identified during the validation process are documented in Section 5, *Validation Report Messages*, of this user's guide.

### **MDS 3.0 File Path Error**

The **MDS 3.0 File Path Error** page (Figure 3-23) is presented when the system is unable to locate the file you specified on the MDS 3.0 File Submission **File Upload** page.

**Figure 3-23. MDS 3.0 File Path Error Page**



The **MDS 3.0 File Path Error** page displays the following message:

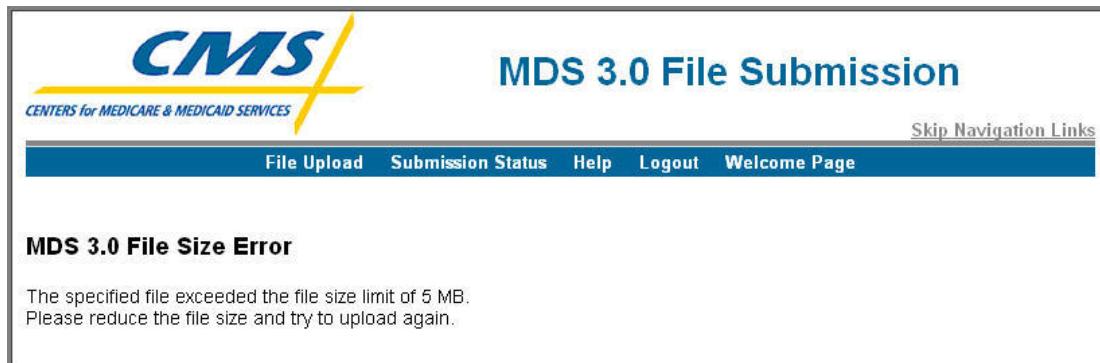
"The specified file could not be obtained. Please go to the File Upload page, browse to the file and try to upload again."

If you manually entered the path and name of your file, please correct your entry, or select the **Browse** button and locate the desired file with the Browse function.

## **MDS 3.0 File Size Error**

The **MDS 3.0 File Size Error** page (Figure 3-24) is presented when the size of your submission file is larger than is allowed.

**Figure 3-24. MDS 3.0 File Size Error Page**



The **MDS 3.0 File Size Error** page displays the following message:

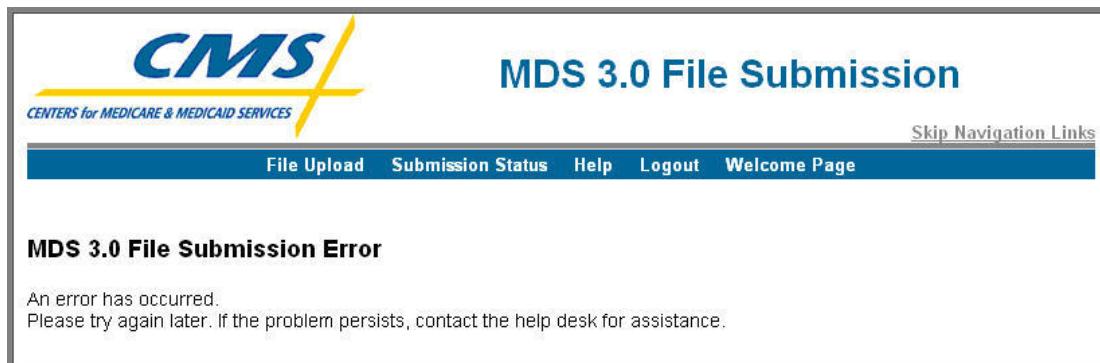
"The specified file exceeded the file size limit of 5 MB. Please reduce the file size and try to upload again."

Please recreate the compressed (zipped) submission file with fewer assessments so that the size of the submission file is less than 5 MB.

## **MDS 3.0 File Submission Error**

The **MDS 3.0 File Submission Error** page (Figure 3-25) is presented when the upload fails due to an exception condition or interruption.

**Figure 3-25. MDS 3.0 File Submission Error Page**



The **MDS 3.0 File Submission Error** page displays the following message:

"An error has occurred. Please try again later. If the problem persists, contact the help desk for assistance."

Please attempt to upload your submission file later. If the error continues to occur, call the QTSO Help Desk for assistance.

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## SUBMISSION STATUS

The MDS 3.0 File Submission system allows you to query and view the status of select submission files you successfully uploaded to the National Submission Database.

**NOTE:** MDS 3.0 submissions prior to 10/01/2010 and submissions from the MDS 2.0 system are not available for viewing on the **List of My Submissions** page.

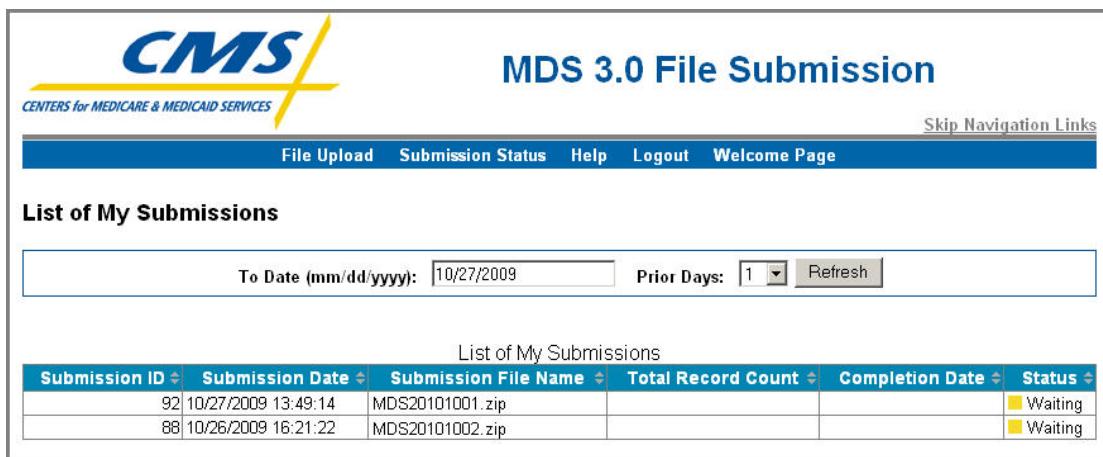
To perform the default query that returns a list of the submission files you successfully uploaded today and yesterday, select the *Submission Status* item from the MDS 3.0 File Submission system menu bar. A **Search Completed** dialog box (Figure 3-26) is presented indicating the number of records returned by the default search criteria.

**Figure 3-26. Search Completed Dialog Box**



Select the **OK** button to continue. The **Search Completed** dialog box closes, leaving the **List of My Submissions** page (Figure 3-27) in full view.

**Figure 3-27. List of My Submissions Page**



List of My Submissions					
Submission ID	Submission Date	Submission File Name	Total Record Count	Completion Date	Status
92	10/27/2009 13:49:14	MDS20101001.zip	1		Waiting
88	10/26/2009 16:21:22	MDS20101002.zip	1		Waiting

The **List of My Submissions** page lists, by default, the submissions successfully uploaded yesterday and today that are associated with your user ID. For each submission the following information is provided in a tabular format:

- Submission ID – the numeric identifier assigned to a submission by the MDS 3.0 Submission system
- Submission Date – the date and time that the National Submissions Database received your file in the Eastern time zone
- Submission File Name – the name of the file that you submitted
- Total Record Count – the number of records in the submitted file
- Completion Date – the date and time that the MDS 3.0 Submissions system completed validation of your file in the Eastern time zone
- Status – the current status of the submitted file:
  - Waiting – the submission file is waiting to be processed by the National Submission System
  - Completed – the submission file processing is complete
  - Processing – the submission file is being processed by the National Submission System
  - Error – a system error occurred during the processing of the file

**NOTE:** The list of submissions is presented in descending order by Submission ID. You can change the sort order of the tabular list by selecting the desired column heading.

The **List of My Submissions** page includes the following fields with which you can customize the query for submission files:

- *To Date* – the inclusive end date of the query in mm/dd/yyyy format; The default value is today's date.
- *Prior Days* – the number of days prior to the *To Date* you wish to query; The default value is 1, which includes submission records for today and yesterday (one day prior to today) in the query. The drop-down list associated with the *Prior Days* field includes options 0, 1, 2, 5, 10, and 30.

If you wish to view submissions for dates other than today and yesterday, modify the *To Date* and/or the *Prior Days* values and select the **Refresh** button.

**NOTE:** To print the **List of My Submissions** page, use the print function of your browser by accessing the *File* menu and then selecting the *Print* option.

The following shortcut keys bring focus to elements of the MDS 3.0 File Submission system **List of My Submissions** page:

<b>Shortcut Keys</b>	<b>To Access</b>
Alt + 2	<i>To Date</i> field
Alt + 3	<i>Prior Days</i> field
Alt + s	<b>Refresh</b> button

**NOTE:** Shortcut keys utilizing numbers function only with the numeric keys along the top of the keyboard. They do not function with the numeric keys in the “10-key” pad of the keyboard.